



ALEX PADILLA | SECRETARY OF STATE | STATE OF CALIFORNIA
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August 29, 2018

County Clerk/Registrar of Voters (CC/ROV) Memorandum #18206

TO: All County Clerks/Registrars of Voters

FROM: /s/ Susan Lapsley
Deputy Secretary of State and Counsel

RE: New State and Federal Help America Vote Act (HAVA) Funding Available

New state and federal HAVA funding has been authorized by the state and federal governments to be used for election purposes. Currently, for fiscal year 2018-2019, the following funds will be made available to counties:

- \$134 million in state funds for voting system modernization;
- \$1.5 million in federal funds for costs associated with cyber security risks and associated infrastructure needs related to VoteCal; and
- \$1.5 million in federal funds for costs associated with polling place accessibility.

As with all previous funding, these county funds will be allocated through state contracts with the counties and be reimbursement based. Approval by your County Board of Supervisors along with the appropriate County Resolution will be required. Draft contracts will be sent at the end of September.

State Funds:

The Governor's fiscal year 2018-2019 state budget provides \$134 million for counties for the replacement of voting systems, including all tabulation equipment, accessible equipment, election management system software and hardware, electronic poll books and ballot on demand printers. This cost includes a one-time purchase of all the necessary hardware, software, peripherals and one year's worth of software licenses.

The \$134 million dollars will require that the county match funds for eligible expenditures on a dollar-for-dollar basis, up to the allocated amount on a reimbursement basis. See [Assembly Bill 1824, Chapter 38 \(Stats. 2018\)](#), which adds sections 19400 and 19402 to the Elections Code and specifies details around the funding.

Specifically:

- Counties may seek reimbursement for payments made pursuant to a purchase agreement, lease agreement, or other contract made after April 29, 2015.
- Counties may seek reimbursement where the county has spent matching county funds on voting system replacement activities on a dollar-for-dollar basis, up to the maximum amount of funds allocated for the contract.
- Reimbursable voting system replacement activities include reimbursement for:
 - New voting systems that have been certified pursuant to the California Voting System Standards.
 - Electronic poll books certified by the Secretary of State.
 - Ballot on demand systems certified by the Secretary of State.
 - Vote by mail ballot drop boxes that comply with any applicable regulations adopted by the Secretary of State.
 - Remote accessible vote by mail systems certified or conditionally approved by the Secretary of State.
 - Telecommunication technologies to facilitate electronic connection, for the purpose of voter registration, between polling places, vote centers, and the office of the county elections official or the Secretary of State's office.
 - Vote by mail ballot sorting and processing equipment.
 - Research and development of a new voting system using only nonproprietary software and firmware with disclosed source code that has not been certified or conditionally approved by the Secretary of State, but that would result in a voting system certified by the Secretary of State to comply with the California Voting System Standards.
 - Manufacture of voting system units reasonably necessary for either of the purposes described in Elections Code sections 19209 to 19214.

The funding for each county for voting system replacement was approved and set through the fiscal year 2018-2019 budget process. The amount allocated for each county is fixed and was based on a variety of factors and assumptions, including such things as county size, number of precincts, and voting equipment needs. See Attachment A for the breakdown by county for these funds. Reimbursement will be allowed for qualifying payments made pursuant to a purchase agreement, lease agreement, or other contract made after April 29, 2015, through June 2021.

Federal Funds:

The United States Congress passed and the President signed the Consolidated Appropriations Act of 2018 which appropriated \$380 million dollars in 2018 HAVA Election Security Fund grants for election security. The U.S. Election Assistance Commission (EAC) awarded California \$34,558,874, to assist with activities to improve the administration of elections for Federal office. The EAC has identified several areas of suggested use primarily focused around cybersecurity. The Secretary of State has submitted our plan for the \$34 million, which will help address cyber security risks, polling place and vote center accessibility, capital costs, infrastructure needs, and

equipment costs associated with vote center implementation, and efforts associated with risk limiting audits, including by enhancing election technology and making election security improvements, as authorized under Section 101 of HAVA. See Attachment B for the Secretary of State's plan for utilizing the funding.

For fiscal year 2018-2019 the following federal funding for counties has been authorized.

- \$1.5 million for county support for Cyber Security related to VoteCal associated with cyber security risks and associated infrastructure needs related to VoteCal.
- \$1.5 million for county support for Polling Place Accessibility and Improving the Administration of Elections.

This funding does not require a county match. See Attachment A for the breakdown by county for these funds. Reimbursement will be allowed for qualifying payments made through June 2019.

Kathryn Chaney (KC) will be the contact for the contract process for all of these funds. Any questions you have about the status of your contract or reimbursement claims should be directed to Ms. Chaney. She can be reached by telephone at (916) 695-1657 or by email kchaney@sos.ca.gov.

Attachment A

County Allocation of FY 18-19 Federal and State Funding

County	Cyber Security	Polling Place Accessibility	Voting System Replacement
	<ul style="list-style-type: none"> • Federal HAVA Funds • No county match required. 	<ul style="list-style-type: none"> • Federal HAVA Funds • No county match required. 	<ul style="list-style-type: none"> • State Funds • Dollar-for-dollar county match required.
Alameda	\$ 55,000.00	\$ 55,000.00	\$ 5,621,500.00
Alpine	\$ 10,000.00	\$ 10,000.00	\$ 50,000.00
Amador	\$ 10,000.00	\$ 10,000.00	\$ 173,000.00
Butte	\$ 25,000.00	\$ 25,000.00	\$ 821,500.00
Calaveras	\$ 10,000.00	\$ 10,000.00	\$ 199,500.00
Colusa	\$ 10,000.00	\$ 10,000.00	\$ 152,500.00
Contra Costa	\$ 55,000.00	\$ 55,000.00	\$ 3,647,000.00
Del Norte	\$ 10,000.00	\$ 10,000.00	\$ 190,500.00
El Dorado	\$ 25,000.00	\$ 25,000.00	\$ 757,000.00
Fresno	\$ 25,000.00	\$ 25,000.00	\$ 2,886,500.00
Glenn	\$ 10,000.00	\$ 10,000.00	\$ 253,000.00
Humboldt	\$ 25,000.00	\$ 25,000.00	\$ 542,000.00
Imperial	\$ 25,000.00	\$ 25,000.00	\$ 419,500.00
Inyo	\$ 10,000.00	\$ 10,000.00	\$ 73,500.00
Kern	\$ 25,000.00	\$ 25,000.00	\$ 2,291,000.00
Kings	\$ 25,000.00	\$ 25,000.00	\$ 341,500.00
Lake	\$ 10,000.00	\$ 10,000.00	\$ 246,000.00
Lassen	\$ 10,000.00	\$ 10,000.00	\$ 107,500.00
Los Angeles	\$ 200,000.00	\$ 200,000.00	\$ 43,128,000.00
Madera	\$ 25,000.00	\$ 25,000.00	\$ 378,000.00
Marin	\$ 25,000.00	\$ 25,000.00	\$ 1,038,000.00
Mariposa	\$ 10,000.00	\$ 10,000.00	\$ 207,000.00
Mendocino	\$ 10,000.00	\$ 10,000.00	\$ 337,500.00
Merced	\$ 25,000.00	\$ 25,000.00	\$ 657,000.00
Modoc	\$ 10,000.00	\$ 10,000.00	\$ 145,000.00
Mono	\$ 10,000.00	\$ 10,000.00	\$ 116,000.00
Monterey	\$ 25,000.00	\$ 25,000.00	\$ 1,160,500.00
Napa	\$ 25,000.00	\$ 25,000.00	\$ 499,500.00
Nevada	\$ 25,000.00	\$ 25,000.00	\$ 449,500.00
Orange	\$ 55,000.00	\$ 55,000.00	\$ 9,823,000.00

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Placer	\$ 25,000.00	\$ 25,000.00	\$ 1,410,500.00
Plumas	\$ 10,000.00	\$ 10,000.00	\$ 206,500.00
Riverside	\$ 55,000.00	\$ 55,000.00	\$ 6,156,500.00
Sacramento	\$ 55,000.00	\$ 55,000.00	\$ 4,714,500.00
San Benito	\$ 10,000.00	\$ 10,000.00	\$ 348,500.00
San Bernardino	\$ 55,000.00	\$ 55,000.00	\$ 5,903,000.00
San Diego	\$ 55,000.00	\$ 55,000.00	\$ 10,685,000.00
San Francisco	\$ 25,000.00	\$ 25,000.00	\$ 3,011,500.00
San Joaquin	\$ 25,000.00	\$ 25,000.00	\$ 2,060,500.00
San Luis Obispo	\$ 25,000.00	\$ 25,000.00	\$ 1,043,000.00
San Mateo	\$ 25,000.00	\$ 25,000.00	\$ 2,474,000.00
Santa Barbara	\$ 25,000.00	\$ 25,000.00	\$ 1,357,500.00
Santa Clara	\$ 55,000.00	\$ 55,000.00	\$ 5,572,000.00
Santa Cruz	\$ 25,000.00	\$ 25,000.00	\$ 1,009,500.00
Shasta	\$ 25,000.00	\$ 25,000.00	\$ 686,500.00
Sierra	\$ 10,000.00	\$ 10,000.00	\$ 43,000.00
Siskiyou	\$ 10,000.00	\$ 10,000.00	\$ 190,000.00
Solano	\$ 25,000.00	\$ 25,000.00	\$ 1,409,500.00
Sonoma	\$ 25,000.00	\$ 25,000.00	\$ 1,681,000.00
Stanislaus	\$ 25,000.00	\$ 25,000.00	\$ 1,500,500.00
Sutter	\$ 10,000.00	\$ 10,000.00	\$ 313,000.00
Tehama	\$ 10,000.00	\$ 10,000.00	\$ 438,000.00
Trinity	\$ 10,000.00	\$ 10,000.00	\$ 169,000.00
Tulare	\$ 25,000.00	\$ 25,000.00	\$ 958,000.00
Tuolumne	\$ 10,000.00	\$ 10,000.00	\$ 209,000.00
Ventra	\$ 25,000.00	\$ 25,000.00	\$ 3,008,000.00
Yolo	\$ 25,000.00	\$ 25,000.00	\$ 699,500.00
Yuba	\$ 10,000.00	\$ 10,000.00	\$ 378,000.00
	\$ 1,535,000.00	\$ 1,535,000.00	\$ 134,347,500.00

Attachment B

California Secretary of State HAVA Funding Narrative Submitted to and Approved by the Election Assistance Commission July 2018

\$1,564,000 – Fiscal Year 2018-2019

County Support for Cyber Security related to VoteCal

Support of county efforts associated with cyber security risks and associated infrastructure needs related to VoteCal.

\$1,564,000 – Fiscal Year FY 2018-2019

County Support for Polling Place Accessibility and Improving the Administration of Elections

Support of county efforts associated with polling place accessibility and improving the administration of elections.

\$20,000,000 – Fiscal Years 2018-2019, 2019-2020

County Support for Vote Center Implementation

County support for capital costs, infrastructure needs, and equipment costs associated with vote center implementation.

\$3,000,000 – Fiscal Year 2019-2020

County Support for Cyber Security

County support for efforts associated with cyber security risks and associated infrastructure needs.

\$4,000,000 – Fiscal Years 2019-2020, 2020-2021

VoteCal Security Enhancements

VoteCal technical and security enhancements.

\$3,000,000 – Fiscal Years 2019-2020, 2020-2021

County Cyber Security Training

Development of security training curriculum and training of counties annually.

\$400,000 – Fiscal Years 2019-2020, 2020-2021

Election Auditing

County support for county efforts associated with risk limiting audits.

\$1,030,874 – Fiscal Years 2018-2019, 2019-2020, 2020-2021

Personnel Costs

Secretary of State indirect costs including: personal services costs of administrative, supervisory, and executive staff; personal services costs of support units, including accounting, internal audits, legal, information technology, clerical support, etc.

Total: \$34,558,874